

<b>FORM PTO-1449</b> (Rev. 2-32)	<b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>	<b>ATTY. DOCKET NO.</b> 31125-CNT1	<b>SERIAL NO.</b>
		<b>APPLICANT:</b> Cross, John W.	
		<b>FILING DATE:</b>	<b>GROUP:</b>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
 (Use several sheets if necessary)

### U.S. PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4	3	8	7	9	6	0	06/14/83	Tani			
		4	7	2	6	6	5	4	02/23/88	Kimura et al.			
		4	7	6	5	7	2	9	08/23/88	Taniguchi			
		4	7	8	4	4	6	7	11/15/88	Akatsuka et al			
		5	0	7	8	7	9	1	01/07/92	Singh			
		5	2	1	9	6	5	4	06/15/93	Singh			
		5	7	6	6	6	9	8	06/16/98	Singh			
		5	8	9	7	9	1	8	04/27/99	Singh			
		6	1	4	3	3	5	8	11/07/00	Singh			
		6	1	7	1	6	5	2	01/09/01	Singh			
		6	2	0	6	1	9	1	03/27/01	Singh			
		5	2	0	4	1	2	6	04/20/93	Singh			

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Letter from Jones,Day,Reavis & Pogue; August 29, 2002; H. Duane Switzer; 2 pages with 4 attachments
		Statement Under 37 CFR 1.97(e)(2) (1 page)
		Declaration of John W. Cross (2 pages)
		All Top-Coatings are not created Equal - the Permaseal <sup>™</sup> Story
		Handbook of Optics, Volume 1, 2nd ed., Michael Bass et al., Chapter 42, pages 42.3 to 42.17 by McGraw-Hill Book Co.
		Design of Optical Interference Coatings, Alfred Thelen, Chapter 2, pages 85-93 by McGraw-Hill Book Company

EXAMINER: Initial if citation considered, whether not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.